

NOTHE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gunter BAUR et al.

Serial No.: 08/627,386 Group Art Unit: 2871

Filed: April 4, 1996 Examiner: Kenneth Parker

For: ELECTROOPTICAL LIQUID CRYSTAL SWITCHING ELEMENT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This information disclosure statement is made in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98 as follows:

Timing and Fees

\boxtimes	Under 37 C.F.R. § 1.97(b), no fee or statement is required for filing this information disclosure statement is filed:				
		within three months of the filing date of a national application other than a CPA under § 1.53(d);			
٠		within three months of the actual filing date of the national phase of a PCT application; OR			
	\boxtimes	before the mailing of a first substantive office action (including after filing of an RCE).			
		37 C.F.R. § 1.97(c), this information disclosure statement is filed after the periods ed in 37 C.F.R. § 1.97(b), but before the mailing date of:			
		a final rejection under 37 C.F.R. 1.113; termination of prosecution, e.g. Ex Parte Quayle, M.P.E.P § 609(B)(2); OR a notice of allowance under 37 C.F.R. § 1.311; and			

		is acco	ompanied by:
			the statement as specified in 37 C.F.R. § 1.97(e) set out below; OR
			a check covering the fee of \$180.00 under 37 C.F.R. § 1.17(p).
			R. § 1.97(d), this information disclosure statement is filed after the mailing lowing actions which have not been withdrawn:
		a final	action under 37 C.F.R. § 1.113;
		termin	ation of prosecution, e.g. Ex Parte Quayle, M.P.E.P § 609(B)(2);
		OR a r	notice of allowance under 37 C.F.R. § 1.311;
	AND i	is filed o	on or before payment of the issue fee; AND is accompanied by:
			tement as specified in 37 C.F.R. § 1.97(e) as set forth below, and the fee of 00 under 37 C.F.R. § 1.17(p).
Staten	nents Ui	nder 37	C.F.R. 1.97(e)
			Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application having a mailing date not more than three months prior to the filing date of this information disclosure statement; or
			No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of the information disclosure statement.
Cited 1	Materia	<u>ls</u>	
		anceste	of materials listed but not attached were cited in benefit (35 U.S.C. § 120) or application Serial No, on Form 892 by the Examiner and/or Form by the applicant; see 37 C.F.R. § 1.98(d).
			s of materials listed but not attached were cited in an international search dated
		Not re	quired by 37 CFR § 1.98.
	\boxtimes	Copies	s of the materials listed are attached (except for the foregoing).

Non-I	English :	Language References
		An English-language search report or equivalent paper from a foreign patent office is provided indicating the relevance of the cited reference(s).
		A foreign-language search report from a foreign patent office is provided, and pertinent parts are translated substantively below:
		 X = document of particular relevance when it is taken alone Y = document of particular relevance when it is combined with another such document A = document defining the general state of the art
		O = non-written disclosure
		 P = intercalated document T = document cited to understand the theory or principle underlying the invention
		E = patent document which has the benefit of a date earlier than the filing date and which was published only on or after this filing date
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		Translation of other relevant information on foreign search report
\boxtimes	Other	<u>Information</u>
applic		the examiner desire copies of any references mentioned in the Castellano extracts, be happy to comply.
Payme	ent of Fe	ees Due (If Any):
	A chec	ck for \$ covering the fee identified above is attached.
	Please	charge to Deposit Account No. 13-3402 \$ for the fee identified above.

 \boxtimes The Commissioner is hereby authorized to charge or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,

Anthony J. Zelano, Reg. No. 27,969

Attorney for Applicants

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Attorney Docket No.: MERCK-1753-D01

Date: June 2, 2005

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Substitute for form 1449A/PTO	Complete if Known				
	Application Number	08/627,386			
INFORMATION DISCLOSURE	Filing Date	April 4, 1996			
STATEMENT BY APPLICANT	First Named Inventor	Gunter BAUR et al.			
	Group Art Unit	2871			
(use as many sheets as necessary)	Examiner Name	Kenneth Parker			

Attorney Docket Number

2

U.S. PATENT DOCUMENTS							
Examiner Initials *	Cite No.1	U.S. Patent Docum	ent Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
	A1	3,674,342		J.A. Castellano et al.	07-04-1972		
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. Number refers to English language corresponding family member.



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Substitute for form 1449A/PTO Complete if Known **Application Number** 08/627,386 INFORMATION DISCLOSURE April 4, 1996 Filing Date STATEMENT BY APPLICANT First Named Inventor Gunter BAUR et al. **Group Art Unit** 2871 (use as many sheets as necessary) Examiner Name Kenneth Parker MERCK-1753-D01 Attorney Docket Number Sheet

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
	C1	JOSEPH A. CASTELLANO, "Liquid Gold: The Story of Liquid Crystal Displays and the Creation of an Industry," 2005, pp. 62, 63, 79, 269, 279, 284, and 293, World Scientific Publishing Co., Toh Tuck Link, Singapore.				
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